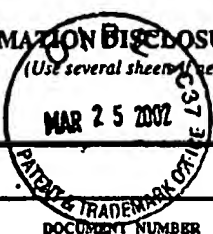


INFORMATION DISCLOSURE CITATION
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Docket Number (Optional)

Kalotay-1

Application Number

09/866,936

Applicant(s)

Deane Yang et al.

Filing Date

May 29, 2001

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2164

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPLICABLE

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FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	"Testing Hedge Effectiveness for FAS 133: The Volatility Reduction Measure" by Andrew Kalotay and Leslie Abreo, <i>Journal of Applied Corporate Finance</i> (Winter 2001).
	"FAS 133: Hedge Effectiveness Testing" by Andrew Kalotay and Leslie Abreo, <i>Reuters Risk Review</i> , (3rd and 4th Quarters 2000, Issue #3, Volume #2)
	"Effectiveness Using Statistics: Take 4" by Nilly Essalides, <i>International Treasurer</i> (May 21, 2001)
	"Earning Impact of Derivatives Under Hedge Accounting" by Andrew Kalotay and Leslie Abreo, <i>FAS 133 and the New Derivatives Accounting Landscape</i>

EXAMINER

DATE CONSIDERED

10/05/2005

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.